



PATENT
0505-4002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher KEITH

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Filed: March 8, 2001

SEP 18 2001

Art Unit: 2165

Examiner:

Technology Center 2100

Serial No.: 09/801,848

Confirmation No.: 1156

Title: PLATFORM FOR MARKET PROGRAMS AND TRADING PROGRAMS

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. The items listed on Form PTO 1449, a copy of which is enclosed, may be pertinent to the above-referenced application and are made of record to assist the Patent Office in its examination of this application. The Examiner is requested to fully consider the items and independently ascertain their teaching.

No fee is due since this Information Disclosure Statement is being filed

☒ before the mailing date of a first Office Action on the merits.

Respectfully submitted,

Date: September 14, 2001

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New York, NY 10019
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Brenda Pomerance

Brenda Pomerance
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07P-2165
PATENT
0505-4002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher KEITH

Filed: March 8, 2001

Art Unit: 2165

Examiner:

Serial No.: 09/801,848

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Title: PLATFORM FOR MARKET PROGRAMS
AND TRADING PROGRAMS

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2. PTO Form 1449
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Date: September 14, 2001

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Art Unit: 2165

Serial No.: 09/801,848

Title: PLATFORM FOR MARKET PROGRAMS AND TRADING PROGRAMS



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Respectfully submitted,

Date: June 26, 2002

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Brenda Pomerance

Brenda Pomerance
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PATENT
0505-4002

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Nj
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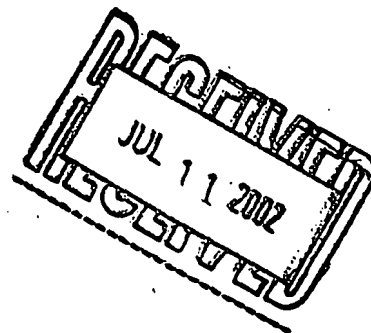
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212 245-3940



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**Title of
Invention**

PLATFORM FOR MARKET PROGRAMS AND TRADING
PROGRAMS

Application Number : 09/801848
Confirmation Number: 1156
First Named Applicant: Christopher Keith
Attorney Docket Number: 0505-4002
Art Unit:
Examiner:
Search string: (6285989).pn



US Patent Documents

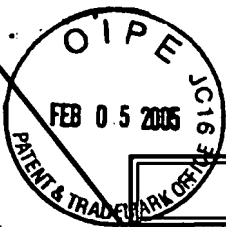
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init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	6285989	2001-09-04	Shoham			

Signature

Examiner Name	Date


2/5/05



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Electronic Version v18

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Title of Invention	PLATFORM FOR MARKET PROGRAMS AND TRADING PROGRAMS																				
<p>Application Number: 09/801848 </p> <p>Confirmation Number: 1156</p> <p>First Named Applicant: Christopher Keith</p> <p>Attorney Docket Number: 0505-4002</p> <p>Search string: (6285989).pn.</p> <p>RECEIVED FEB 11 2005 GROUP 3600</p> <p>US Patent Documents</p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td></td><td>1</td><td>6285989</td><td>2001-09-04</td><td>Shoham</td><td></td><td></td><td></td></tr></tbody></table> <p>Signature</p> <table border="1"><thead><tr><th>Examiner Name</th><th>Date</th></tr></thead><tbody><tr><td></td><td></td></tr></tbody></table>		init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass		1	6285989	2001-09-04	Shoham				Examiner Name	Date		
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(Not for submission under 37 CFR 1.99)

Application Number	09801848
Filing Date	2001-03-08
First Named Inventor	Christopher Keith
Art Unit	3693
Examiner Name	James M. Albert
Attorney Docket Number	IVEN125462

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1	Board of Trade of the City of Chicago, Commodity Trading Manual, 1998, pp. 178-179, 387.	<input type="checkbox"/>
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Examiner Name	James M. Alpert
Attorney Docket Number	IVEN125462

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	1	The Options Institute, "Options: Essential Concepts & Trading Concepts," 3rd ed., McGraw-Hill, New York, 1999, p. 420.	<input type="checkbox"/>

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